

## Operational Risk for Financial Professionals Seminar 24<sup>th</sup> - 25<sup>th</sup> March 2010 Hong Kong

Learn Operational Risk from the leading financial markets consultant.

Fathom the secrets of operational risk to overcome the challenges it can pose.

Operational risk is a major challenge in modern markets and is relevant to everyone in any financial institution. The attention of regulators has been drawn ever increasingly towards greater control and stronger capital backing to protect institutions against operational risk failures. This course is aimed as a concise introduction to the subject for those who are seeking to improve their understanding or to learn for the first time about this very important discipline

### THE WORKSHOP

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- 3 What to Expect, Fees and Discounts

For Course Content See Page 2

## Speaker

*Alan BURR*



Alan Burr specialises in financial markets trading and operations in securities, commodities and derivatives markets. He is also a leading expert on clearing and settlement. He possesses considerable regulatory, IT, risk, corporate actions, custody and project management experience. He works as a financial markets consultant, having been a senior executive with an outstanding track record of achievement for over 35 years.

Following 20 successful years with ICCH (now LCH.Clearnet) until 1992 during which he was Chief Executive in Hong Kong and Executive Director in London, he worked for MEF, the Spanish Financial Futures Exchange, as its International Director.

Alan works with investment banks and delivers training to clients such as Morgan Stanley, Credit Suisse and HSBC. Over the past three years alone, he has provided training to major international clients such as the Hong Kong Monetary Authority, SEBI the Indian securities regulator and the New York Federal Reserve

## Event Calendar - Hong Kong 24<sup>th</sup> & 25<sup>th</sup> March 2010

- What is risk?
- What is operational risk?
- How does operational risk differ from other risks - boundary issues
- The role of the operational risk management group
- Building the firm's risk culture
- Creating a policy across the firm
- Causes - events and impacts
- Risk identification
- The approaches to assessment and measurement of operational risk
- Implementing mitigating controls
- Reporting and audit
- Regulatory considerations under Basel II
- Approaches to operational risk capital
- Modelling operational risk within a Basel context
- Supporting case studies

*"That was one of the best training courses I have ever attended..... I loved the way you did the case studies."*

*Ms. Rheetuparna Pal Mahajan, a Vice President at SEBI, the Indian securities regulator*



## What to Expect

Globally, the focus in 2010 is to concentrate on better risk management. Operational Risk for Financial Professionals will take a detailed look at one of the most critical areas for financial institutions in the post credit crunch environment, how to address risk. Some of the most substantial issues which have caused failures have been operational risk events. Everyone attending the course will improve their knowledge and approach towards improving risk management, which will benefit their firms and careers. The course will be given using up-to-date examples and case-studies. The number of attendees is strictly limited in order that Alan Burr can provide individual attention.

## What Will the Course Be Like?

The course covers two intensive days. The number of participants will be limited to 12 only. This is to ensure that every attendee receives Alan Burr's personal attention. The course is given using an interactive approach with opportunity for all to ask questions and to learn in an open, constructive environment. There will be practical examples and case study exercises.

## How do I sign up?

To register please contact [yvette.burfin@betagroup.co.uk](mailto:yvette.burfin@betagroup.co.uk) or sign up at [www.betagroup.co.uk](http://www.betagroup.co.uk)

Comprehensive manuals, post session follow up, and refreshments are included within the course cost. Lunch is included for delegates attending full courses.

Our courses qualify for CPD (Continuous Professional Development) hours with the FSA (Financial Services Authority).

[www.betagroup.co.uk](http://www.betagroup.co.uk)

## Costs and Discounts:

**The full course costs 1477 GBP.**

10% Discount available for applicants confirming their registration prior to 25<sup>th</sup> February (discount code HKEB)

15% discount available (non cumulative with early Bird) for groups of 3 or more who book together. (Discount code HKGP)